

# Quantum Matter: Concepts and Models

homework problems, course week 6, spring 2020

Please structure your solutions carefully. All essential steps in your analysis and calculations should be made explicit.

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## 1. The one-dimensional Hubbard model

The Hubbard model in one dimension describes  $N_e$  electrons on a lattice of  $N$  lattice sites which are allowed to hop to nearest-neighbour sites. Double occupancy of a site with two electrons (which, of course, must have opposite spin orientation) costs an energy  $U$ . The second quantized Hamiltonian for this model is:

$$\mathcal{H} = -t \sum_{\substack{j=1, \\ \sigma=\pm 1}}^N \left\{ \psi_{\sigma}^{\dagger}(x_j) \psi_{\sigma}(x_j + a) + \psi_{\sigma}^{\dagger}(x_j) \psi_{\sigma}(x_j - a) \right\} \\ + \frac{U}{2} \sum_{\substack{j=1, \\ \sigma=\pm 1}}^N \left\{ \psi_{\sigma}^{\dagger}(x_j) \psi_{\sigma}(x_j) \psi_{-\sigma}^{\dagger}(x_j) \psi_{-\sigma}(x_j) \right\}, \quad (1)$$

where  $x_j = ja, j = 1, 2, \dots, N$  and  $a$  is the lattice spacing. The Fermi operators obey anti-commutation relations

$$\left\{ \psi_{\sigma}(x_j), \psi_{\sigma'}^{\dagger}(x_l) \right\} = \delta_{\sigma, \sigma'} \delta_{jl}.$$

a) Diagonalize the hopping part of this Hamiltonian by Fourier transformation,

$$\psi_{\sigma}(x) = \frac{1}{\sqrt{N}} \sum_k \exp(ikx) c_{k, \sigma},$$

and determine the allowed  $k$ -values assuming periodic boundary conditions  $x_{j+N} = x_j$ .

b) Show that

$$\mathcal{M}_{\uparrow} = \sum_j \psi_{\uparrow}^{\dagger}(x_j) \psi_{\uparrow}(x_j)$$

and  $\mathcal{M}_{\downarrow}$  defined analogously are conserved quantities, i.e. commute with  $\mathcal{H}$ . Therefore  $\mathcal{M}_{\uparrow}$  and  $\mathcal{M}_{\downarrow}$  provide quantum numbers  $M_{\uparrow}$  and  $M_{\downarrow}$  of the spectrum of  $\mathcal{H}$ ,

$$E = E(M_{\uparrow}, M_{\downarrow}; t, U).$$

c) Determine the symmetries of  $E = E(M_{\uparrow}, M_{\downarrow}; t, U)$  under the transformations

$$\psi_{\sigma}(x_j) = (-1)^j c_{j, \sigma}$$

and

$$\psi_{\uparrow}(x_j) = (-1)^j c_{j, \uparrow}^{\dagger}, \quad \psi_{\downarrow}(x_j) = c_{j, \downarrow}.$$

Are the new operators in both cases again Fermi operators?

## 2. Tensor products of R-matrices

a) Warm-up: Show that for two spins we have

$$\begin{aligned}
 \sigma_1 \cdot \sigma_2 &= \sigma_1^x \sigma_2^x + \sigma_1^y \sigma_2^y + \sigma_1^z \sigma_2^z \\
 &= \sigma^x \otimes \sigma^x + \sigma^y \otimes \sigma^y + \sigma^z \otimes \sigma^z \\
 &= \begin{pmatrix} 1 & 0 & 0 & 0 \\ 0 & -1 & 2 & 0 \\ 0 & 2 & -1 & 0 \\ 0 & 0 & 0 & 1 \end{pmatrix}
 \end{aligned}$$

b) Using the convention (10.12) in Hans-Peter's book for partitioning an  $R$ -matrix into  $2 \times 2$  blocks (see link on the course homepage to *lecture notes*, Feb 20), show that the vertex weight of two vertices, (10.19), can be written as a tensor product of  $R$ -matrices in block form. Writing the elements of this composite object, we have

$$\sum_{\gamma_2=\pm} R_{\alpha_1}^{\alpha'_1}(\gamma_1, \gamma_2) R_{\alpha_2}^{\alpha'_2}(\gamma_2, \gamma'_1) = (R_1 \otimes R_2)_{\alpha_1 \alpha_2}^{\alpha'_1 \alpha'_2}(\gamma_1, \gamma'_1)$$

where

$$R_n = \left( R_{\alpha_n}^{\alpha'_n}(\gamma_n, \gamma'_n) \right) = \begin{pmatrix} R_+^+(\gamma_n, \gamma'_n) & R_+^-(\gamma_n, \gamma'_n) \\ R_-^+(\gamma_n, \gamma'_n) & R_-^-(\gamma_n, \gamma'_n) \end{pmatrix}$$

are the  $R$ -matrices of the two vertices  $n = 1, 2$ .

Note that the vertex weights  $w_{ij}$  entering the  $R$ -matrices  $R_1$  and  $R_2$  are the same for both  $R$ -matrices.